Joint Commission Review Crosswalk for Chaplain Services

Commentary items are included in the "Hospital Plan for Chaplain Services Department," "Chaplaincy Policies and Procedures," and/or "Department Scope of Service" or in the Hospital P&P. Also found on the APC website.

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2011 Joint Commission Standard	Commentary/Chaplaincy	Needing Department Attention
Rights and Responsibilities of the Individual	Chaplaincy care is the responsibility of the	
RI.01.01.01 The hospital respects, promotes, and	Chaplaincy department. Chaplaincy	
promotes patient rights.	follows the Common Code of Ethics.	
Elements of Performance	EP 28 and 29 are NEW.	
2. The hospital informs the patient of his or her rights.	The chaplain helps the hospitals advocate	
(<u>See also</u> RI.01.01.03, EPs 1-3)	for the rights of those significant in the lives	
4. The hospital treats the patient in a dignified and	of the patients.	
respectful manner that supports his or her dignity.		
5. The hospital respects the patient's right to and need for		
effective communication. (<u>See also</u> RI.01.01.03, EP 1)		
6. The hospital respects the patient's cultural and		
personal values, beliefs, and preferences.		
7. The hospital respects the patient's right to privacy.		
(<u>See also</u> IM.02.01.01, EPs 1-5)		
9. The hospital accommodates the patient's right to		
religious and other spiritual services.		
28. The hospital allows a family member, friend, or		
other individual to be present with the patient for		

emotional support during the course of stay. Note 1: The hospital allows for the presence of a support individual of the patient's choice, unless the individual's presence infringes on others' rights, safety, or is medically or therapeutically contraindicated. The individual may or may not be the patient's surrogate decision-maker or legally authorized representative. (For more information on surrogate or family involvement in patient care, treatment, and services, refer to RI.01.02.01, EPs 6-8.) Note 2: [Effective July 1, 2011, this will be part of the accreditation survey.] 29. The hospital prohibits discrimination based on age, race, ethnicity, religion, culture, language, physical or mental disability, socioeconomic status, sex, sexual orientation, and gender identity or expression. Note: [Effective July 1, 2011, this will be part of the accreditation survey.]		
RI. 01.01. 03 The hospital respects the patient's right to	Chaplains provide culturally sensitive, age	
receive information in a manner he or she understands	appropriate, language specific, and spiritually/religiously appropriate care	
RI. 01.02.01 The hospital respects the patient's right to participate in decisions about his or her care, treatment, and services	Chaplains participate in patient/family centered care, palliative care, and ethics committee	
RI. 01.03.05 The hospital respects the patient's right to	Both IRB participation and IRB approval	
participate in decisions about his or her care, treatment, and services.	for research in which Chaplains are	
Elements of Performance	investigators or staff In conducting research, Chaplains follow	
1. The hospital reviews all research protocols and	all research ethics and regulations in order	
weighs the risks and benefits to the patient participating in the research.	to protect the patient	

- 2. To help the patient determine whether or not to participate in research, investigation, or clinical trials, the hospital provides the patient with all of the following information:
 - An explanation of the purpose of the research
 - The expected duration of the patient's participation
 - A clear description of the procedures to be followed
 - A statement of the potential benefits, risks, discomforts, and side effects
 - Alternative care, treatment, and services available to the patient that might prove advantageous to the patient
- 3. To help the patient determine whether or not to participate in research, investigation, or clinical trials, the hospital provides the patient with all of the following information:
 - An explanation of the purpose of the research
 - The expected duration of the patient's participation
 - A clear description of the procedures to be followed
 - A statement of the potential benefits, risks, discomforts, and side effects
 - Alternative care, treatment, and services available to the patient that might prove advantageous to the patient
- 4. The hospital documents the following in the research consent form: That the patient received information to help determine whether or not to participate in the research, investigation, or clinical trials.
- 5. The hospital documents the following in the research

consent form: That the patient was informed that		
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refusing to participate in research, investigation, or		
clinical trials, or discontinuing participation at any		
time will not jeopardize his or her access to care,		
treatment, and services unrelated to the research.		
6. The hospital documents the following in the research		
consent form: The name of the person who provided		
the information and the date the form was signed.		
7. The research consent form describes the patient's		
right to privacy, confidentiality, and safety.		
RI. 01.05.01 The hospital addresses patient decisions	Chaplains explore the values of the patient,	
about care, treatment, and services received at the end of	including advance directives, and both	
life	encourage the patient to communicate to the	
	health care team and communicate	
	themselves to the health care team as	
	appropriate.	
Elements of Performance	ирргоргиис.	
10. Upon request, the hospital refers the patient to	Chaplains are one of the resources for	
resources for assistance in formulating advance directives.	assisting patients in formulating advance	
resources for assistance in formulating advance directives.	directives consistent with the patient's	
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DI 01 06 02 The artist had a sight to be fore from	values and goals	
RI. 01.06.03 The patient has the right to be free from	Chaplains are respectful, maintain	
neglect; exploitation; and verbal, mental, physical, and	appropriate boundaries, and honor the	
sexual abuse.	Common Code of Ethics	
PC.01.02.01 The hospital assesses and reassesses its	Screening, assessment, and reassessment are	
patients.	included in the comprehensive plan for	
	chaplaincy care.	
Elements of Performance:		
1. The hospital defines, in writing, the scope and		
content of screening, assessment, and reassessment		
content of screening, assessment, and reassessment		

 information it collects 2. The hospital defines, in writing, criteria that identify when additional, specialized, or more indepth assessments are performed. 4. Based on the patient's condition, information gathered in the initial assessment includes the following: Physical, psychological, and social assessment Nutrition and hydration status Functional status For patients who are receiving end-of-life care, the social, spiritual, and cultural variables that influence the patient's and family members' perception of grief 	
PC. 01.02.03 The hospital assesses and reassesses the	Time frame for initial assessments (and
patient and his or her condition according to defined time	ideally reassessment) included in
frames	Chaplaincy scope of service documents
PC. 01.02.05 Qualified staff or independent practitioners	All practitioners can screen. Preferably a
assess or reassess the patient	BCC (or supervisee) assesses or reassesses
PC.01.02.07 The hospital assesses and manages the	Chaplains may have a role in addressing
patient's pain.	pain
Elements of Performance:	
1. The hospital conducts a comprehensive pain	
assessment that is consistent with its scope of care,	
treatment, and services and the patient's condition.	
(See also PC.01.02.01, EP 2; RI.01.01.01, EP 8)	
2. The hospital uses methods to assess pain that are	
consistent with the patient's age, condition, and	
ability to understand.	
3. The hospital reassesses and responds to the patient's	

pain, based on its reassessment criteria.4. The hospital either treats the patient's pain or refers		
the patient for treatment.		
PC.01.02.11 The hospital assesses the needs of patients	Alcoholism or other substance abuse added	
who receive psychosocial services for the treatment of	to triggers for assessment in Scope of	
alcoholism or other substance use disorders.	Practice and Plan of Care.	
Elements of Performance		
5. Based on the patient's age and needs, the assessment		
for patients receiving psychosocial services for the		
treatment of alcoholism or other substance use		
disorders includes the following:		
- The patient's religion and spiritual beliefs,		
values, and preferences		
- Living situation		
- Leisure and recreation activities		
- Military service history		
- Peer-group		
- Social factors		
- Ethnic and cultural factors		
- Financial status		
 Vocational or educational background 		
- Legal history		
- Communication skills		
PC. 01.02.13 The hospital assesses the needs of patients	Treatment of emotional or behavioral	
who receive treatment for emotional and behavioral	disorders added to triggers for assessment in	
disorders.	Scope of Practice and Plan of Care.	
Elements of Performance		
3. Based on the patient's age and needs, the assessment		
for patients receiving psychosocial services for the		

treatment of alcoholism or other substance use disorders		
includes the following:		
- The patient's religion and spiritual beliefs, values,		
and preferences		
- Living situation		
- Leisure and recreation activities		
- Military service history		
- Peer-group		
- Social factors		
- Ethnic and cultural factors		
- Financial status		
- Vocational or educational background		
- Legal history		
- Communication skills		
PC. 01.03.01 The hospital plans the patient's care.	Included in Chaplaincy's Scope of Service	
Elements of Performance		
1. The hospital plans the patient's care, treatment,		
and services based on needs identified by the		
patient's assessment, reassessment, and results of		
diagnostic testing. (See also RC.02.01.01, EP 2)		
5. The written plan of care is based on the patient's		
goals and the time frames, settings, and services		
required to meet those goals.		
22. Based on the goals established in the patient's plan		
of care, staff evaluate the patient's progress.		
23. The hospital revises plans and goals for care,		
treatment, and services based on the patient's		
needs. (<u>See also</u> RC.02.01.01, EP 2)		
PC. 02.01.05 The hospital provides interdisciplinary,	Chaplaincy care is enhanced when provided	
collaborative care, treatment, and services.	in an interdisciplinary approach. Included	

	in Chaplaincy's Scope of Service	
Elements of Performance:		
1. Care, treatment, and services are provided to the		
patient in an interdisciplinary, collaborative manner.		
PC. 02.01.21 The hospital effectively communicates with	NEW	
patients when providing care, treatment, and services.		
Note: This standard will not effect the accreditation		
decision at this time.		
Rationale:		
This standard emphasizes the importance of effective		
communication between patients and their providers of		
care, treatment, and services. Effective patient-provider		
communication is necessary for patient safety. Research		
shows that patients with communication problems are at		
an increased risk of experiencing preventable adverse		
events, * and that patients with limited English		
proficiency are more likely to experience adverse events		
than English speaking patients. ** ***		
Identifying the patient's oral and written communication		
needs is an essential step in determining how to facilitate		
the exchange of information with the patient during the		
care process. Patients may have hearing or visual needs,		
speak or read a language other than English, experience		
difficulty understanding health information, or be unable		
to speak due to their medical condition or treatment.		
Additionally, some communication needs may change		
during the course of care. Once the patient's		

communication needs are identified, the hospital can determine the best way to promote two-way communication between the patient and his or her providers in a manner that meets the patient's needs. This standard complements RI.01.01.01, EP 5 (patient right to and need for effective communication); RI.01.01.03, EP 2 (provision of language interpreting and translation services); and RI.01.01.03, EP 3 (meeting needs of patients with vision, speech, hearing, or cognitive impairments).

Footnote *: Bartlett G, Blais R, Tamblyn R, Clermont RJ, MacGibbon B: Impact of patient communication problems on the risk of preventable adverse events in acute care settings. CMAJ 178(12):1555–1562, Jun. 3, 2008. Footnote **: Divi C, Koss RG, Schmaltz SP, Loeb JM: Language proficiency and adverse events in U.S. hospitals: A pilot study. Int J Qual Health Care 19(2):60–67, Apr. 2007.

Footnote ***: Cohen AL, Rivara F, Marcuse EK, McPhillips H, Davis R: Are language barriers associated with serious medical events in hospitalized pediatric patients? Pediatrics 116(3):575–579, Sep. 2005.

Elements of Performance:

1. The hospital identifies the patient's oral and written communication needs, including the patient's preferred language for discussing health care. (See also RC.02.01.01, EP 1)

Note 1: Examples of communication needs include the need for personal devices such as hearing aids or glasses, language interpreters, communication

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boards, and translated or plain language materials.	
Note 2: This element of performance will not affect	
the accreditation decision at this time.	
2. The hospital communicates with the patient during	
the provision of care, treatment, and services in a	
manner that meets the patient's oral and written	
communication needs. (See also RI.01.01.03, EPs 1-	
3)	
Note 1: This element of performance will not affect	
the accreditation decision at this time.	
PC. 02.02.03 The hospital makes food and nutrition	Included in Chaplaincy's Scope of Service
products available to its patients.	as a trigger for assessment
Elements of Performance:	
9. When possible, the hospital accommodates the	
patient's cultural, religious, or ethnic food and nutrition	
preferences, unless contraindicated.	
PC.02.02.13 The patient's comfort and dignity receive	Included in Chaplaincy's Scope of Service.
priority during end-of-life care.	Additional trigger for assessment
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Elements of Performance	
1. To the extent possible, the hospital provides care and	
services that accommodate the patient's and his or	
her family's comfort, dignity, psychosocial,	
emotional, and spiritual end-of-life needs.	
2. The hospital provides staff with education about the	
unique needs of dying patients and their families.	
PC.02.03.01 The hospital provides patient education and	Chaplains have an advectional role in health
	Chaplains have an educational role in health
training based on each patient's needs and abilities.	care. Trigger for assessment. Included in
El 4 f Df.	Chaplaincy's Scope of Service.
Elements of Performance:	

 The hospital performs a learning needs assessment for each patient, which includes the patient's cultural and religious beliefs, emotional barriers, desire and motivation to learn, physical or cognitive limitations, and barriers to communication. The hospital provides education and training to the patient based on his or her assessed needs. The hospital coordinates the patient education and training provided by all disciplines involved in the patient's care, treatment, and services. 		
DISCHARGE OR TRANSFER	Included in Chaplaincy's Scope of Service.	
PC.04.01.01 The hospital has a process that addresses the	Chaplaincy provides education and/or a	
patient's need for continuing care, treatment, and services	plan for ongoing spiritual/religious care	
after discharge or transfer.	post-transfer or discharge as needed	
HOSPITAL PERFORMANCE IMPROVEMENT	Included in Chaplaincy's Scope of	
PI.01.01.01 The hospital collects data to monitor its	Service—continuous quality improvement	
performance.	(CQI)	
PI.02.01.01 The hospital compiles and analyzes data.	Included in Chaplaincy's Plan for CQI.	
 Elements of Performance The hospital compiles data in usable formats. The hospital identifies the frequency for data analysis. The hospital uses statistical tools and techniques to analyze and display data. The hospital analyzes and compares internal data over time to identify levels of performance, patterns, trends, and variations. When the hospital identifies undesirable patterns, trends, or variations in its performance related to the safety or quality of care (for example, as identified 		

in the analysis of data or a single undesirable event), it includes the adequacy of staffing, including nurse staffing, in its analysis of possible causes. Note 1: Adequacy of staffing includes the number, skill mix, and competency of all staff. In their analysis, hospitals may also wish to examine issues such as processes related to work flow; competency assessment; credentialing; supervision of staff; and orientation, training, and education. PL03.01.01 The hospital improves performance. Elements of Performance: 1. Leaders prioritize the identified improvement opportunities. (See also PL02.01.01, EP 8; MS.05.01.01, EPs 1-11) 2. The hospital takes action on improvement priorities. (See also MS.05.01.01, EPs 1-11) 3. The hospital takes action to confirm that they resulted in improvements. (See also MS.05.01.01, EPs 1-11) 4. The hospital takes action when it does not achieve or sustain planned improvements. (See also MS.05.01.01, EPs 1-11) PI 04.01.01 The hospital uses data from clinical/service screening indicators and human resource screening indicators to assess and continuously improve staffing effectiveness. LEADERSHIP LD.03.02.01 The hospital uses data and information to guide decisions and to understand variation in the serferomeracy of recogness and event and available.		
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4. The hospital takes action when it does not achieve or sustain planned improvements. (See also MS.05.01.01, EPs 1-11) PI 04.01.01 The hospital uses data from clinical/service screening indicators and human resource screening indicators to assess and continuously improve staffing effectiveness. LEADERSHIP LD.03.02.01 The hospital uses data and information to guide decisions and to understand variation in the	resulted in improvements. (See also MS.05.01.01,	
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indicators to assess and continuously improve staffing effectiveness. LEADERSHIP LD.03.02.01 The hospital uses data and information to guide decisions and to understand variation in the	screening indicators and human resource screening	
LEADERSHIP LD.03.02.01 The hospital uses data and information to guide decisions and to understand variation in the Included in Chaplaincy's Plan for CQI.		
LD.03.02.01 The hospital uses data and information to guide decisions and to understand variation in the	effectiveness.	
LD.03.02.01 The hospital uses data and information to guide decisions and to understand variation in the	LEADERSHIP	Included in Chaplaincy's Plan for CQI.
guide decisions and to understand variation in the	LD.03.02.01 The hospital uses data and information to	
	-	
performance of processes supporting safety and quanty.	performance of processes supporting safety and quality.	

Rationale:

Data help hospitals make the right decisions. When decisions are supported by data, hospitals are more likely to move in directions that help them achieve their goals. Successful hospitals measure and analyze their performance. When data are analyzed and turned into information, this process helps hospitals see patterns and trends and understand the reasons for their performance. Many types of data are used to evaluate performance, including data on outcomes of care, performance on safety and quality initiatives, patient satisfaction, process variation, and staff perceptions.

Introduction

A hospital's culture reflects the beliefs, attitudes, and priorities of its members, and it influences the effectiveness of performance. Although there may be a dominant culture, in many larger hospitals diverse cultures exist that may or may not share the same values. In fact, diverse cultures can exist even in smaller hospitals. Hospital performance can be effective in either case. Successful hospitals will work to develop a culture of safety and quality.

In a culture of safety and quality, all individuals are focused on maintaining excellence in performance. They accept the safety and quality of patient care, treatment, and services as personal responsibilities and work together to minimize any harm that might result from unsafe or poor quality of care, treatment, and services. Leaders

create this culture by demonstrating their commitment to safety and quality and by taking actions to achieve the desired state. In a culture of this kind, one finds teamwork, open discussions of concerns about safety and quality, and the encouragement of and reward for internal and external reporting of safety and quality issues. The focus of attention is on the performance of systems and processes instead of the individual, although reckless behavior and a blatant disregard for safety are not tolerated. Hospitals are committed to ongoing learning and have the flexibility to accommodate changes in technology, science, and the environment.		
Elements of Performance: 5. The hospital uses data and information in decision		
making that supports the safety and quality of care,		
treatment, and services. (<u>See also NR.02.01.01</u> , EPs 3 and 6; PI.02.01.01, EP 8).		
LD.03.03.01 Leaders use hospital-wide planning to establish structures and processes that focus on safety and quality.	Chaplaincy participates in hospital CQI	Elements of Performance:
LD.03.06.01 Those who work in the hospital are focused upon improving safety and quality.	Chaplaincy participates in hospital CQI	
LD.04.01.05 The hospital effectively manages its programs, services, sites, or departments.	Responsibility and Accountability in Chaplaincy documents	
Elements of Performance:		
1. Leaders of the program, service, site, or department oversee operations.		
2. Programs, services, sites, or departments providing patient care are directed by one or more qualified		

professionals or by a qualified licensed independent practitioner with clinical privileges. 3. The hospital defines, in writing, the responsibility of those with administrative and clinical direction of its programs, services, sites, or departments. (See also NR.01.01.01, EP 5) 4. Staff are held accountable for their responsibilities. 5. Leaders provide for the coordination of care, treatment, and services among the hospital's different programs, services, sites, or departments. (See also NR.01.01.01, EP 1)	
LD.04.01.07 The hospital has policies and procedures that	Hospital plan includes
guide and support patient care, treatment, and services.	Chaplaincy/chaplaincy care.
LD.04.01.11 The hospital makes space and equipment	
available as needed for the provision of care, treatment	
and services.	
Elements of Performance: 2. The arrangement and allocation of space supports safe, efficient, and effective care, treatment, and services.	
LD.04.03.07 Patients with comparable needs receive the	Equity of care is a standard for Chaplaincy
same standard of care, treatment, and services throughout	
the hospital.	
Elements of Performance: 1. Variances in staff, setting, or payment source do not affect outcomes of care, treatment, and services in a negative way. 2. Care, treatment, and services are consistent with the hospital's mission, vision, and goals. LD. 04.03.09 Care, treatment, and services provided	Some Chaplaincy services are through

through contractual agreement are provided safely and effectively.	contractual agreements. Those services must also be safe and effective.
Elements of Performance:	
 The hospital describes, in writing, the nature and scope of services provided through contractual agreements. Leaders monitor contracted services by establishing expectations for the performance of the contracted services. 	
LD.04.03 New or modified services or processes are	This might be part of a CQI approach.
well-designed.	
 Elements of Performance: The hospital's design of new or modified services or processes incorporates the needs of patients, staff, and others. The hospital's design of new or modified services or processes incorporates the results of performance improvement activities. The hospital's design of new or modified services or processes incorporates evidence-based information in the decision-making process.	
new or modified services or processes.	
LD. 04.04.07 The hospital considers clinical practice	Chaplains participate in hospital and

guidelines when designing or improving processes.	departmental CQI.	
Rationale:		
Clinical practice guidelines can improve the quality,		
utilization, and value of health care services Sources		
of clinical practice guidelines include the Agency for		
Healthcare Research and Quality, the National Guideline		
Clearinghouse, and professional organizations.		
TRANSPLANT SAFETY	Chaplain may function as or interact with	
TS.01.01.01 The hospital, with the medical staff's	Organ Procurement Organization Facilitator	
participation, develops and implements written policies	or Designated Family Communications	
and procedures for donating and procuring organs and	Coordinator.	
tissues.		
Elements of Performance:		
5. Staff education includes training in the use of		
discretion and sensitivity to the circumstances,		
beliefs, and desires of the families of potential organ,		
tissue, or eye donors.		
6. The hospital develops, in collaboration with the		
designated organ procurement organization, written		
procedures for notifying the family of each potential		
donor about the option to donate or decline to donate		
organs, tissues, or eyes.		
7. The individual designated by the hospital to notify		
the family regarding the option to donate or decline		
to donate organs, tissues, or eyes is an organ		
procurement representative, an organizational		
representative of a tissue or eye bank, or a		
designated requestor.		
Note: A designated requestor is an individual who		

has completed a course offered or approved by the organ procurement organization. This course is designed in conjunction with the tissue and eye bank community to provide a methodology for	
approaching potential donor families and requesting	
organ and tissue donation.	
HUMAN RESOURCES	Included in Staffing Plan; Definition of
HR.01.02.01 The hospital defines staff qualifications.	Board Certified Chaplain is included in Chaplaincy documents.
Elements of Performance:	
1. The hospital defines staff qualifications specific to	
their job responsibilities. (See also IC.01.01.01, EP 3 and	
RI.01.01.03, EP 2)	
HR.01.04.01 The hospital provides orientation to staff.	Chaplain is both educator and engages in education
Elements of Performance:	
5. The hospital orients staff on the following:	
Sensitivity to cultural diversity based on their job	
duties and responsibilities. Completion of this	
orientation is documented.	
6. The hospital orients staff on the following: Patient	
rights, including ethical aspects of care, treatment,	
and services and the process used to address ethical	
issues based on their job duties and responsibilities.	
Completion of this orientation is documented.	
HR.01.05.03 Staff participate in ongoing education and	Chaplains must also continue to learn and
training.	grow.
HR.01.06.01 Staff are competent to perform their	Chaplaincy defines and achieves
responsibilities.	competencies for service
Elements of Performance:	

1. The hospital defines the competencies it requires of its staff who provide patient care, treatment, or	
services.	
2. The hospital uses assessment methods to determine	
the individual's competence in the skills being	
assessed.	
Note: Methods may include test taking, return	
demonstration, or the use of simulation.	
5. Staff competence is initially assessed and	
documented as part of orientation.	
6. Staff competence is assessed and documented once	
every three years, or more frequently as required by hospital policy or in accordance with law and	
regulation.	
15. The hospital takes action when a staff member's	
competence does not meet expectations.	
RECORD OF CARE, TREATMETN AND	Chaplains document in the medical record
SERVICES	
RC.02.02.01 The medical record contains information that	
reflects the patient's care, treatment, and services.	
Elements of Performance: (A summary follows)	
 Documentation and findings of assessments and 	
reassessments	
• The reason(s) for admission for care treatment	
• The reason(s) for admission for care, treatment,	
and services	
and servicesThe goals of the treatment and the treatment plan	
 and services The goals of the treatment and the treatment plan Progress notes made by authorized individuals 	
and servicesThe goals of the treatment and the treatment plan	

 The response to care, treatment, and services provided Advance directives 		
INFORMATION MANAGEMENT	Chaplaincy documents are clear about	
IM.02.02.01 The hospital protects the privacy of health	privacy in relationship to sharing health	
information.	information, including with faith groups,	
	and in terms of opening medical records	
Elements of Performance:		
4. The hospital discloses health information only as		
authorized by the patient or as otherwise consistent with		
law and regulation. (See also RI.01.01.01, EP 7)		